

S/N 09/759,056

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	PENNICA ET AL.	Examiner:	M. BORIN
Serial No.:	09/759,056	Group Art Unit:	1631
Filed:	JANUARY 11, 2001	Docket No.:	11669.163USU1
Title:	NOVEL STRA6 POLYPEPTIDES		

**CERTIFICATE UNDER 37 CFR 1.8:**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Mail Stop Amendment, P.O. Box 1450, Alexandria, VA 22313-1450 on October 29 2004.

By: 

Name: KATE CANNON

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))****Mail Stop Amendment**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted after the mailing date of a first Office Action on-the-merits or a first Office Action after filing a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d), but before the mailing date of: i) a final action under 37 C.F.R. § 1.113; ii) a Notice of Allowance under 37 C.F.R. § 1.311; or iii) an action that otherwise closes prosecution on the application. Enclosed is a check in the amount of \$180.00 under 37 C.F.R. § 1.17(p) for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a

reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

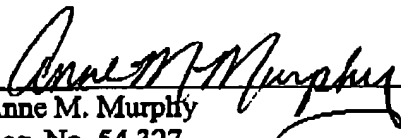
Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.  
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Minneapolis, Minnesota 55402-0903  
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Date October 20, 2004

  
Anne M. Murphy  
Reg. No. 54,327  
AMM:PLSklg



SEP 30 2005

Date Mailed: October 20, 2004

Sheet 1 of 4

Customer No. 23552

<b>FORM 1449*</b> <b>INFORMATION DISCLOSURE STATEMENT</b>  <b>IN AN APPLICATION</b>  (Use several sheets if necessary)	Docket Number:	Application Number:
	11669.163USU1	09/759,056
	Applicant: PENNICA ET AL.	Confirmation No. 1938
	Filing Date: 01/11/2001	Group Art Unit: 1631

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,187,819	02/13/2001	Fisher et al.			
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
	WO 95/32221	11/30/1995	PCT			YES NO
	WO 98/54963	12/10/1998	PCT			
	WO 99/47162	09/23/1999	PCT			
	WO 01/12660 A2	02/22/2001	PCT			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
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		Database EMBL 'Online' Entry/Acc.No. A17601070 30 June, 1999 STRAUSBERG R.: "wg58R06.X1 Soares-NSF-F8.9W_OT_PA_P S1 Homo Sapiens cDNA clone image:2369315 3'. mRNA sequence." XP002174858				
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		Bidyut Roy et al., "Synergistic Activation of Retinoic Acid (RA)-Responsive Genes and Induction of Embryonal Carcinoma Cell Differentiation by a RA Receptor $\alpha$ (RAR $\alpha$ ), RAR $\beta$ - or RAR $\gamma$ -Selective Ligand in Combination with a Retinoid X Receptor-Specific Ligand" <i>Mol. Cell. Biol.</i> , 15(12):6481-7 (1995)				
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EXAMINER	DATE CONSIDERED
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Date Mailed: October 29, 2004

Sheet 2 of 4

Customer No. 23552

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	Filing Date: 01/11/2001	Group Art Unit: 1631

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
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\*Substitute Disclosure Statement Form (PTO-1449)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Date Mailed: October 20, 2004

Sheet 3 of 4

Customer No. 23552

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
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